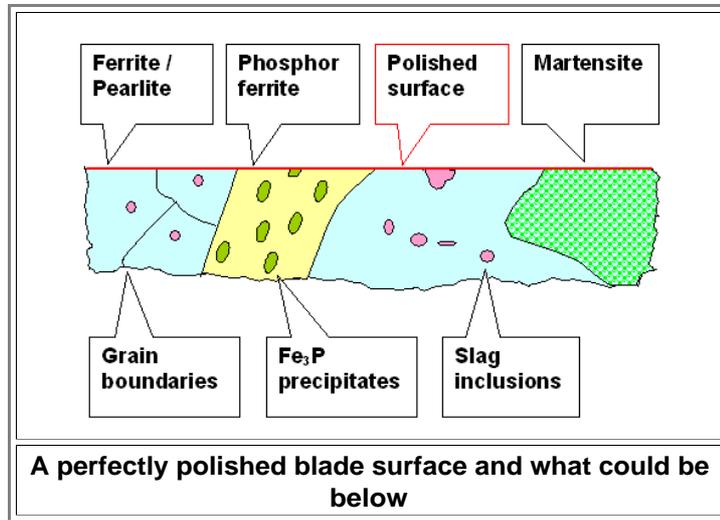


Sword Polishing and Revealing the Pattern / Structure

Polishing and Structure Determination

Let's assume you have a quench-hardened pattern welded blade that still contains some slag particles. You polish the blade until it is absolutely flat. Since there are few absolutes in technology, let's say it is flat to about ± 10 nm. In cross-section this looks (schematically!) like this.



Advanced

What do you see when you look at the polished surface? That is a rather difficult question. The answer has three parts:

1. Since different materials reflect the light differently, you "see" the intrinsic "*reflectivity*" of the various materials, the reflectivity that is *not* influenced by surface roughness or layers on the surface (we do not worry about the very thin oxide layers always there). If the reflectivity *differences* between different materials are large enough, some materials will "look" brighter than others.
2. You do *not* "see" small specks of material, even if their reflectivity is different from that of the matrix. The eye isn't a microscope, after all. And even with a microscope you would see nothing from spots that are much smaller than the wave length of the light, say $0.1 \mu\text{m}$.
3. You *see* yourself. After all, you are looking into a *mirror* now. That's why the word "see" is in quotation marks above. Differences in reflectivity you "see" foremost with an optical instrument. What you see with your eye depends very much on the kind of illumination employed and how you look at the blade mirror.

We know that all metals reflect light very well. In other words: you can make mirrors from them. However, no metal or material reflects 100 % of the light falling on it. The best you can get is about 97 %. Calculating the intrinsic reflectivity of a given material, by the way, is a difficult task, and I'm not sure that it has ever been done for different grades of steel. I have not seen reliable measurements either. I thus do not know if the reflectivity of a high-carbon steel is different from that of low-carbon or phosphorous steel and if it is, how much. Nevertheless we may safely assume that there is a difference - but not a large one.

That means that you might be able to see slight differences in brightness when you look sort of sideways at a *perfectly* polished blade. You will not see the *small* stuff, however: slag inclusions, precipitates or grain boundaries ending at the polished surface.

Many people in many cultures at some times wanted their blades to be mirror-like with no discernible features. That is a tall job for the polisher. There are two different ways of achieving "mirror" polishing and [I have detailed that before](#) so I won't repeat it here.

In this context it is good to note that *all* properly polished surfaces have mirror qualities - for example the quite black surface of a Steinway Grand Piano:



Black mirror - a Steinway Grand Piano. Mizuka Kano playing in a barn during the Schleswig-Holstein Musikfestival 2014

- The reflectivity of the black high-sheen lacquer is much smaller than that of a metal but still high enough to produce nice mirror effects. So what can you tell about the uniformity of the surface of the inside of the piano lid? Nothing! You can't see it. All you see is the reflection of the piano's inside. There is a reason why you can order prints and other stuff "glossy" or "matte".

So *perfect* polishing by itself will not tell you very much about the structure of your blade. *Japanese* polishing, however, does just that. Look at [Stefan Maeder's book](#) or just at the [pictures here](#). While Japanese polishing does produce mirror-like surfaces for parts of the blade, it also delineates at least parts of the structure of the blade. It's *parts* of the structure because you can never be sure that it reveals everything there is. It won't tell you much, for example, about phosphorous distribution. But it is quite powerful. An expert can appreciate the different grades of steel, can tell if and how well faggoting was used, if there are (large) inclusions, and so on.

- So how does **Japanese polishing** work? Why does it reveal structures? Stefan Maeder writes a hell of a lot pages on this subject without ever going into that "how" and "why" part. I don't know how Japanese polishing works, to be sure, but I can make an educated guess. Polishing typically means that you abrade (meaning scratch) the object to be polished with small particles that are *harder* than the object. The hard particles are contained in a slurry or embedded in a disc; read up in the [polishing module](#) how it is done. If you want a "mirror-like" finish, your hard particles must be very small - sizes below 1 μm are necessary. That is not so easy to come by and how to get or make the right "dust" and "stones" are well guarded trade secrets. Note that [King Theoderic's "Thank You" letter](#) for pattern welded swords mentioned "The metal your *whetstone* so carefully shapes, this your *splendid dust* (granted to your country by the bounty of nature) so thoroughly polishes that it makes the gleam of the iron a very mirror of men". Not to mention Alexander the Great who needed iron for [polishing diamonds](#).

What you get is still only a scratched surface but if the scratches are small enough to not be visible with normal light.

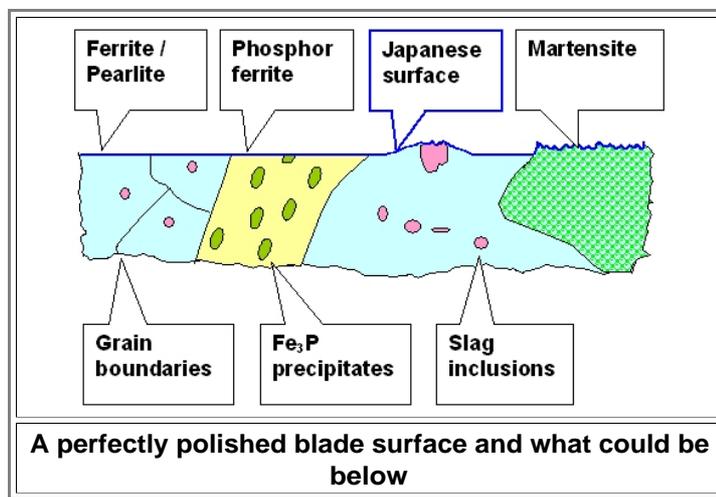
The polishing action may not be exclusively due to mechanical abrasion (or "scratching" if you like), however, but may contain a *chemical* component. Since freshly scratched surfaces are very reactive, the damaged parts right after scratching might dissolve in the liquids always used with polishing. Even water might do the trick to some extent. That provides for some additional smoothness and the polisher may not be aware of this. If he is aware of this effect, he might develop the technique into what is called [chemical-mechanical polishing](#), a technique used on a huge scale to provide perfectly polished (far better than any sword) silicon wafers in large quantities.

The key word in all of the above is *"harder"*. Your polishing particles ought to be considerably harder than the material you are polishing if you want a surface as shown schematically above. That's why diamond particles are so popular for this job.

What happens if this is not the case? If, for example, you polish a blade with particles that are harder than ferrite or mild steel but *not* as hard as martensite?

Right. Most of your blade will have a mirror finish but *not* the hard martensite edge. And hard particles in the softer part of the blade might also get visible because they "stick out" a bit and then become visible even if they are quite small - if you look at them the right way. The shadow of a little bump at sunset ("glancing angle illumination") can be much larger than the bump itself and now you see it.

Very schematically the "Japanese" polished surface might look like this:



- The hard edge looks different now because it is rough. That would make it somewhat darker than the bulk part because rough surfaces [scatter the light in all directions](#) and thus less light finds its way into your eye. Yes, I know that you now are going to tell me that you have seen Japanese blades where the *body* looked darker than the edge. No problem. You then looked at it under conditions where a lot of light came from *one* direction (the sun, for example). Then the well-polished blade reflects most of that light in a specific direction and if that is not the direction to your eye, you won't see much - the blade appears dark. If you look at the blade with "diffuse" light coming from all directions (cloudy day; sun not visible), things look quite different. On the other hand, it is easy to conceive of a way where the surface looks exactly the other way around relative to the picture above: the soft part is a bit rough and the hard part is perfectly polished. First polish everything to perfection with very hard slurry particle, then polish again with relatively soft and largish particles. This won't do much to the hard part but you are now roughening the soft part.

▮ This, I believe, is the basic principle behind Japanese polishing. And now you can start to optimize. Use particles of different hardness in the various steps, use different liquids,

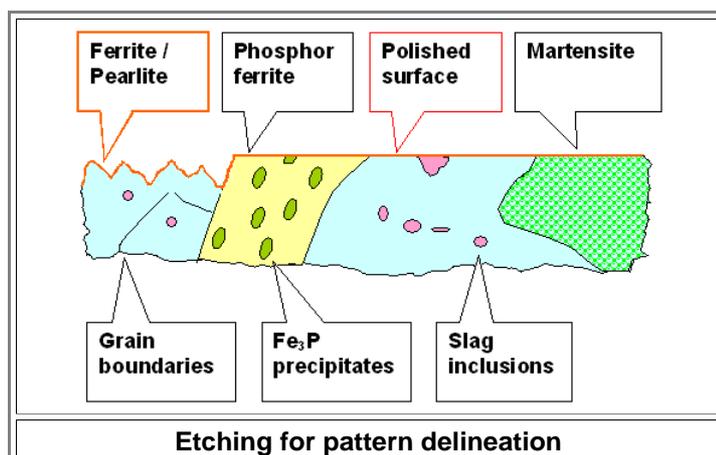
Since Japanese polishing was optimized to reveal the structure of Japanese blades while still providing an aesthetically pleasing "polished" look, it is certainly a good method for analyzing blades. Stefan Maeder has proved this for early medieval European swords as shown [here](#), and more recently for a Celtic sword from 200 BC - 300 BC. [Here](#) is what the blade looked like after "Japanese polishing" by Maeder:

- We need to be clear about one thing in this context. While "Japanese polishing" is certainly a very good method for finishing blades in a way that looks good *and* allows structural characterization, it is not necessarily "THE BEST" as claimed by Maeder and others. Western (modern) polishing plus an optimized [defect etching](#) might just be as good or better. As long as nobody makes a direct comparison we simply can't tell.

Producing the Pattern

▮ Let's assume you have a pattern welded blade or some blade made by piling various grades of steel that still contain some slag particles. You polish the blade until it is perfectly flat and mirror-like. Under the right illumination and looking conditions you now can see the pattern. But only *faintly* because the reflectivity of the two kinds of steel used is (most likely) only a little bit different

- This is not what you want. You want to have a strong pattern, always visible, kind of black-and-white. So what you do is to etch the (polished) blade. The German word for this process is "[ausziehen](#)" in the sense of "extracting". What you may want should look like this:



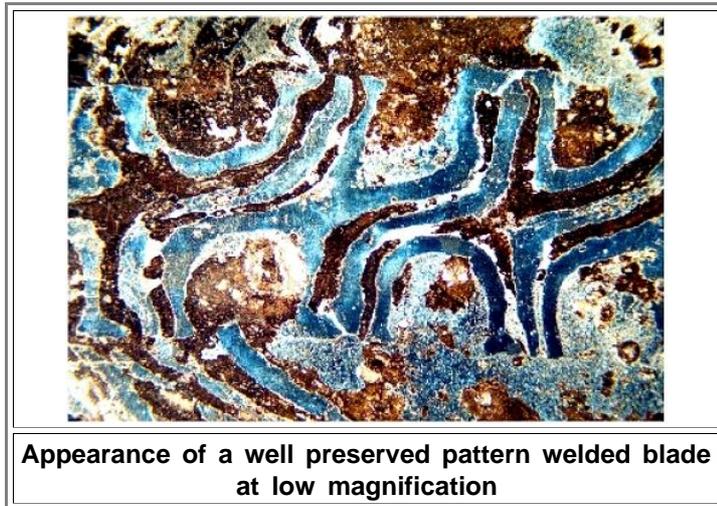
I have chosen to leave the phosphorous iron more or less intact and to only roughen the ferrite. That is what usually happens if you use mild acids like fruit juices for the etching. You need to dissolve some of the steel and that means you are actually corroding it. Since phosphorous iron, for rather [tricky reasons](#), is more corrosion resistant than normal iron, changes are that you get what is shown above.

We need to be clear - once more - that pattern etching like defect etching is still counted among the [black arts](#). It is almost impossible to predict what a given etchant will produce, and it is even impossibler (!) to device a recipe for concocting a good etchant from first principles. On the other hand, a lot of recipes just work. So doing the etching is simplicity in itself.

Another way to produce optical contrast is to grow a layer on one of the steel kinds. Without tricky chemistry that tends to be an oxide layer (best is magnetite, Fe_3O_4) and if you succeed in doing so, your iron / steel will become dark or even acquires a kind of color. It will turn bluish for example. That's why the process is called "[blueing](#)" or "browning".

I'm not saying that the ancient craftsmen who made pattern welded swords used one of the many blueing / browning processes known today. All I'm saying is that immersion in some corrosive liquids might produce stable oxide layers, in particular if you follow up with some stabilizing treatment like boiling in water.

Since we have no ancient pattern welded swords with an intact surface, we cannot presently know how our forebears produced the pattern. The best I can come up with is this picture from [Joachim Kinder](#):



The blue parts is phosphorous iron. If it reflects an original blueing by the sword maker is open to doubt. It is not impossible either, however. It is a tantalizing thought that pattern welded swords might have been colored. [King Theoderic's "Thank You" letter](#) mentions pattern welded swords as "the metal was ... shining with different colors".