

3.7.2 The Complex Index of Refraction

In looking in detail at the polarization of dielectrics, we [switched](#) from a simple dielectric constant ϵ_r to a dielectric function $\epsilon_r(\omega) = \epsilon' + i\epsilon''$. This, after some getting used to, makes life much easier and provides for new insights not easily obtainable otherwise.

- We now do exactly the same thing for the index of refraction, i.e. we replace n by a **complex index of refraction** n^* .

$$n^* = n + i \cdot \kappa$$

- We use the old symbol n for the real part instead of n' and κ instead of n'' , but that is simply to keep with tradition.
- With the dielectric *constant* and a *constant* index of refraction [we had the basic relation](#),

$$n^2 = \epsilon_r$$

- We simply use this relation now for defining the *complex* index of refraction. This gives us

$$(n + i\kappa)^2 = \epsilon' + i \cdot \epsilon''$$

- With $n = n(\omega)$; $\kappa = \kappa(\omega)$, since ϵ' and ϵ'' are frequency dependent as [discussed before](#).

Re-arranging for n and κ yields somewhat unwieldy equations:

$$n^2 = \frac{1}{2} \left(\left(\epsilon'^2 + \epsilon''^2 \right)^{1/2} + \epsilon' \right)$$

$$\kappa^2 = \frac{1}{2} \left(\left(\epsilon'^2 + \epsilon''^2 \right)^{1/2} - \epsilon' \right)$$

Anyway - That is all. *We now have optics covered*. An [example of an real complex index of refraction](#) is shown in the link.

- So lets see how it works and what κ , the so far unspecified imaginary part of n_{com} , will give us.

First, lets get some easier formula. In order to do this, [we remember](#) that ϵ'' was connected to the conductivity of the material and express ϵ'' in terms of the (total) conductivity as

$$\epsilon'' = \frac{\sigma_{\text{DK}}}{\epsilon_0 \cdot \omega}$$

- Note that in contrast to the definition of ϵ'' [given before](#) in the context of the dielectric function, we have an ϵ_0 in the ϵ'' part. We had, for the sake of simplicity, [made a convention](#) that the ϵ in the dielectric function contain the ϵ_0 , but here it more convenient to write it out, because then $\epsilon' = \epsilon_0 \cdot \epsilon_r$ is reduced to ϵ_r and directly related to the "simple" index of refraction n

- Using that in the expression $(n + i\kappa)^2$ gives

$$(n + i\kappa)^2 = n^2 - \kappa^2 + i \cdot 2n\kappa = \epsilon' + i \cdot \frac{\sigma_{\text{DK}}}{\epsilon_0 \cdot \omega}$$

- We have a complex number on both sides of the equality sign, and this demands that the real and imaginary parts must be the same on both sides, i.e.

$$n^2 - \kappa^2 = \epsilon'$$

$$n\kappa = \frac{\sigma_{DK}}{2\epsilon_0\omega}$$

- Separating n and κ finally gives

$$n^2 = \frac{1}{2} \left(\epsilon' + \left(\epsilon'^2 + \frac{\sigma_{DK}^2}{4\epsilon_0^2 \omega^2} \right)^{1/2} \right)$$

$$\kappa^2 = \frac{1}{2} \left(-\epsilon' + \left(\epsilon'^2 + \frac{\sigma_{DK}^2}{4\epsilon_0^2 \omega^2} \right)^{1/2} \right)$$

- Similar to [what we had above](#), but now with basic quantities like the "dielectric constant" $\epsilon' = \epsilon_r$ and the conductivity σ_{DK} .

▶ The equations above go beyond just describing the optical properties of (perfect) dielectrics because we can include all kinds of conduction mechanisms into σ , and all kinds of polarization mechanisms into ϵ' .

- We can even use these equations for things like the reflectivity of metals, as we shall see.

▶ Keeping in mind that typical n 's in the visible region are somewhere between **1.5 - 2.5** ($n \approx 2.5$ for diamond is one of the higher values as your girl friend knows), we can draw a few quick conclusions: From the simple but coupled equations for n and κ follows:

- κ should be rather small for "common" optical materials, otherwise our old relation of $n = (\epsilon_r)^{1/2}$ would be not good.
- κ should be rather small for "common" optical materials, because optical materials are commonly insulators, i.e. $\sigma_{DK} \approx 0$ applies.
- For $\sigma_{DK} = 0$ (and, as we would assume as a matter of course, $\epsilon_r > 0$) we obtain immediately $n = (\epsilon_r)^{1/2}$ and $\kappa = 0$ - the old-fashioned simple relation between just ϵ_r and n .
- For large σ_{DK} values, both n and κ will become large. We don't know yet what κ means in physical terms, but very large n simply mean that the [intensity of the reflected beam](#) approaches **100 %**. Light that hits a good conductor thus will get reflected - well, that is exactly what happens between light and (polished) metals, as we know from everyday experience.

▶ But now we must look at some problems that can be solved with the complex index of refraction in order to understand what it encodes.